

## PROFESSIONAL EXPERIENCE

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- 2026 – Senior Consultant, *Econic Partners*
- 2013 – 2026 Vice President, Financial Markets Practice, *Charles River Associates*
- 1996 – 2013 Managing Director, Principal, and Director of the Securities Practice, *Navigant Economics*
- 1988 – 1996 Partner (1993-1996), Manager (1988-1993), *Coopers & Lybrand*
- 1978 – 1988 Trading Manager/Trader, *Cargill, Inc.*, *Cargill Geneva, S.A.*, and *Cargill Investor Services*

## EDUCATION AND CERTIFICATIONS

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- MA in Economics and Finance | Webster University
- BA in Accounting and Business Administration | College of St. Thomas (currently the University of St. Thomas)

## PROFESSIONAL AFFILIATIONS

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- Board of Governors: University of St. Thomas Law School, Minneapolis, MN.
- Arbitrator: National Association of Securities Dealers and National Futures Association
- Board of Directors: Futures Industry Association—Chicago Division
- Member: Securities Industry Association—Compliance and Legal Division
- Associate Member: American Bar Association
- Speaker: Controlling and Managing Derivative Risks for Corporate/Institutional End Users, Essex House, New York, “A Look at Recent Problems with Derivative End Users, Avoiding the Mistakes of the Past”
- Moderator: American Conference Institute. Hedge Funds: Developing and Implementing Compliance Best Practices in the New Regulatory Environment, “Financial Reporting and Risk Management Best Practices,” 2005
- Participant: Securities Industry Association, Law & Compliance Seminar, 1990–2004

## EXECUTIVE SEMINARS

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- Executive Seminar in Financial Management, Policies & Practices, The Wharton School, University of Pennsylvania

# ECONIC PARTNERS

Executive Seminar in Finance, Darden School, University of Virginia

## TESTIMONY AND CONSULTING EXPERIENCE

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Mr. Weir has testified before forums of the Securities and Exchange Commission, Commodity Futures Trading Commission, National Association of Securities Dealers, National Futures Association, New York Stock Exchange, Chicago Board of Trade, Chicago Mercantile Exchange, and in a variety of federal court cases throughout the US.

He was responsible for the development of a system for use by one of the world's largest stock exchanges to detect unusual trading patterns of member firms, evaluated the risk management exposure of major trading and investment firms, analyzed the risk exposure related to insurance coverage for risks associated with exchange traded investment products on behalf of one of the world's largest insurance companies, functioned as a lead advisor to the foreign acquirer of a major US investment bank, and has been responsible for the valuation of several trading and investment firms. He has been series 3, 7, 79, and 63 registered and licensed.

Mr. Weir has submitted written reports or testified as an expert in the following matters, either at deposition, at trial, or in regulatory proceedings:

American Express Financial Advisers Inc. v. Richard Fury, Robert Sullivan, and Burnell Smith.

Wayne C. Metcalf, Ins. Commissioner of Hawaii, Liquidator of Investors Equity Life Ins. Co. of Hawaii; and Investor Equity Life Ins. Co. of Hawaii v. ADM Investor Services.

District Business Conduct Committee of the National Association of Securities Dealers (DBCC) v. Atlanta-One, Inc., Brian Moore and Craig Cunningham, et al.

William Waters & Linda Bartholomew, individually and on behalf of all those similarly situated v. International Precious Metals Corporation, Multivest, Inc., James Grosfeld, individually and as Trustee of the Jason Grosfeld Trust, Multivest Real Estate, Inc., S.S. Melbourne, Inc., and the Cypress Group, Inc., Miami, FL.

Helier Corp. v. Daiwa Securities, Inc., United States District Court, Southern District of New York, NY.

Internationale Nederlanden (United States) Capital Corporation, v. Leslie Rosenthal, J. Robert Collins, and Rosenthal Collins Group, L.P., Circuit Court of Cook County, Law Division, Chicago, IL.

Dean Witter Reynolds, Inc. v. Oppenheimer & Co., Inc., and David A. Melby.

# ECONIC PARTNERS

Marven Weiner v. William Blair & Co.

The City of Houston Municipal Employee Pension System v. Analytic Investment Advisors, Inc., United States District Court, Houston, TX.

Harold Mitchell v. Pioneer Commodities et al., United States District Court, Northern District of Illinois, Chicago, IL.

In the matter of the bankruptcy hearing of Mr. & Mrs. Leonard Templeton, United States Bankruptcy Court, Northern District of Illinois, Chicago, IL.

Carolyn Schiffels v. Kemper Financial Services, Inc. Regulatory hearings before the Securities and Exchange Commission and the Commodities Futures Trading Commission, Washington, DC.

In the matter of Ferruzzi, Finanziaria, Chicago Board of Trade Business Conduct Committee, Chicago, IL.

In the matter of Iowa Grain, Commodities Futures Trading Commission reparations hearing, Chicago, IL.

Maria Vogt v. Prudential Bache Securities, Inc. National Association of Securities Dealers hearing, Chicago, IL.

Carl Oles v. Prudential Bache Securities, Inc. New York Stock Exchange hearing, Pittsburgh, PA.

Glynwill Investments, N.V. v. Shearson Lehman Hutton, Inc. New York State Court, New York, NY.

Terje Nilsen v. Prudential Bache Securities, Inc. New York Stock Exchange hearing, New York, NY.

United States Trustee v. G.I.C. Government Securities, Inc. United States District Court, Tampa, FL.

R.T.C. v. Scott Krantz and Stotler and Co. United States District Court, Northern District of Illinois, Chicago, IL.

R.T.C. v. Shearson Lehman Bros., Inc. United States District Court, Lincoln, NE.

Foote et al. v. Murlas Commodities, Inc. United States District Court, Northern District of Illinois, Chicago, IL.

Market Street Partners v. Prudential Bache Securities. AAA arbitration, Philadelphia, PA.

Mr. & Mrs. Ronald Dennis v. Ruffnacht Bromegan & Herz et al. AAA arbitration, Chicago, IL.

# ECONIC PARTNERS

United States Trustee (Steven Scholes) v. Rosenthal & Schanfield et al. United States District Court, Northern District of Illinois, Chicago, IL.

Keith L. Kaufman v. Chicago Corporation, National Association of Securities Dealers, Detroit, MI.

In the matter of Roger Kallstrom, United States Bankruptcy Court, Northern District of Illinois, Chicago, IL.

Hammer Trading v. Rodman & Renshaw, Chicago Mercantile Exchange hearing.

Euler v. Balzano, National Futures Association hearing.

In the matter of Lehman Brothers Commercial Corporation and Lehman Brothers Special Financing, Inc. v. Minmetals International Non-Ferrous Metals Trading Company and China National Metals and Minerals Import and Export Corporation. United States District Court, Southern District of New York.

In the matter of Donna Owens, Director, Ohio Department of Finance and Thomas E. Geyer, Commissioner, Ohio Division of Securities v. Options Trading Group, Inc. In the Court of Common Pleas, Cuyahoga County, OH.

In the matter of Henryk de Kwiatkowski v. Bear, Stearns & Co., Inc., Bear, Stearns Securities Corp., Bear, Stearns Forex, Inc., and Albert J. Sabini.

In the matter of Arauca Trading v. First Options of Chicago, Inc.

In the matter of R.J. O'Brien & Associates v. Ronald Forman.

AIA Holdings, S.A., et al. v. Lehman Brothers, Inc., and Bear, Stearns & Co. Inc.

H&R Block Financial Advisors Inc. v. Dominic Sewik, Jeffry Johnson, Trenton Marsh, Thomas Boone, and Morgan Stanley Dean Witter & Co.

William Gee v. William D. Levin, Goldman Sachs, et al. NASD arbitration.

Karlin Investment Corp. and Evenstone Ltd. v. Prudential Securities, Inc. NASD arbitration.

Marianne A. Tucker v. Salomon Smith Barney, Inc. NY Stock Exchange arbitration.

Global Market Strategies International, Inc. v. Meyer Capital Management, Inc. NFA arbitration.

Patti G. Perdue et al. v. Citigroup Global Markets, Inc., et al. NASD arbitration.

# ECONIC PARTNERS

Securities and Exchange Commission v. Currency Trading International Inc., Brian R. Moore, Craig A. Cunningham, Craig Wiginton, James R. Kelsal, Christian J. Weber, and Robert Shante Jones.

Issued reports in several confidential matters on behalf of the Internal Revenue Service in connection with “Son of Boss” tax transactions.

C. Clark Hodgson Jr., Receiver for Philadelphia Alternative Asset Management Co. LLC, (PAAMCO, LLC) and its Partners, Affiliates, Subsidiaries, and Related Entities v. Man Financial Inc., and Thomas Gilmartin.

Securities and Exchange Commission (SEC) v. Mathew E. Kopsky and Ronald W. Davis.

Amaranth LLC and Amaranth Advisors LLC. v. J.P. Morgan Chase & Co., J.P. Morgan Chase Bank N.A., and J.P. Morgan Futures Inc.

First Horizon National Corporation, et al. v. Certain Underwriters at Lloyd’s, et al. United States District Court for the Western District of Tennessee Memphis Division, (Case No. 2:11-cv-02608).

Regulatory investigation by the CFTC into trading practices of MF Global. Appearance before the Commodity Futures Trading Commission in Washington, DC.

New Hampshire Insurance Company, Vigilant Insurance Company, Certain Underwriters of Lloyds of London Subscribing to Certificate No. B0576MMU280, St. Paul Fire & Marine Insurance Company, Fidelity & Deposit Company of Maryland, Continental Casualty Company, Liberty Mutual Insurance Company, Great American Insurance Company, and Axis Reinsurance Company, v. MF, Global, Inc.

Bruce Rodin and Shari Dale Rodin v. Chicago Board of Options Exchange, Inc.; Chicago Options Exchange Building Corporation; Maintenance Engineering, Inc.; LaSalle Bank Land Trust #54793; Griswold Heckel & Kelly Associated, Inc., AKA Space Management Programs; Interior Alterations, Inc.; Jupiter Maintenance Company, LLC.; and Employee Management Services, Inc.

Chelsea Investments, Inc. v. Velocity Futures, L.P.; Velocity Futures, LLC; VFT Holdings, LLC; and Jack Edward Earnest. NFA Arbitration.

Securities and Exchange Commission v. Chartwell Asset Management Services, Compania International Financiera S.A., and Coudree Capital Gestion S.A.

In the matter of SEC vs. B. Francis Saul, III and Peter David Garvey 90 C 2633. United States District Court, Northern District of Illinois, Chicago, IL.

# ECONIC PARTNERS

Securities and Exchange Commission v. Rex C. Steffes, Cliff M. Steffes, R. Steffes, and Bret W. Steffes, 10-cv-6266 (N.D. Ill.). United States District Court, Northern District of Illinois, Eastern Division.

Alan Osofsky v. Pit Bull, Inc. (dba Pit Bull Partners, LLC) and MSS Associates, Inc. (dba MSS Associates Limited Pension Plan), Circuit Court for the 15th Judicial Circuit in and for Palm Beach County, Florida, (Case No.50-2012-CA-015449-MB (AE))

Morgan Stanley Smith Barney v. Ernesto Gonzalez Madero NFA Case No. 13-ARB-4. Before the National Futures Association.

Paulina Guirola de David et al. v. Alaron Trading Corporation et al., 10-cv-3502 (N.D. Ill.). United States District Court, Northern District of Illinois, Eastern Division.

New Hampshire Insurance Company et al. v. MF Global Finance USA, Inc. The Supreme Court of the State of New York (Index No. 601621/09).

SIAC Arbitration in the matter of an Arbitration between Jurong Shipyard PTE LTD, Claimant and Ernst & Young LLP Respondent.

In the matter of the Bankruptcy of Midland Euro.

Joseph S. Mancinelli, Carmen Principato, Douglas Serroul, Luigi Carrozzi, Manuel Bastos, and Jack Oliveira in their capacity as The Trustees off The Labourers, Pension Fund of Central and Eastern Canada, and Christopher Staines v. Royal Bank of Canada, RBC Capital Markets LLC, Bank of America Corporation, Bank of America, N.A., Bank of America Canada, Bank of America National Association, Bank of Montreal, BMO Financial Corp., BMO Harris Bank N.A., BMO Capital Markets Limited, The Bank of Tokyo Mitsubishi, UFJ Ltd., Bank of Tokyo-Mitsubishi UFJ (Canada), Barclays Bank Plc, Barclays Capital Inc., Barclays Capital Canada Inc., BNP Paribas Group, BNP Paribas North America Inc., BNP Paribas (Canada), BNP Paribas, Citigroup, Inc., Citibank, N.A., Citibank Canada, Citigroup Global Markets Canada Inc., Credit Suisse Group AG, Credit Suisse Securities (USA) LLC, Credit Suisse AG, Credit Suisse Securities (Canada), Inc., Deutsche Bank AG, The Goldman Sachs Group, Inc., Goldman Sachs & Co., Goldman Sachs Canada Inc., HSBC Holdings Plc, HSBC Bank Plc, HSBC North America Holdings Inc., HSBC Bank USA, N.A., HSBC Bank Canada, JP Morgan Chase & Co., J.P. Morgan Bank Canada, J.P. Morgan Canada, JP Morgan Chase Bank National Association, Morgan Stanley, Morgan Stanley Canada Limited, Royal Bank of Scotland Group Plc, RBS Securities, Inc., Royal Bank of Scotland N.V., Royal Bank of Scotland Plc, Société Générale S.A., Société Générale (Canada), Société Générale, Standard Chartered Plc, Toronto Dominion Bank, TD Bank, N.A., TD Group US Holdings, LLC, TD Bank USA, N.A., TD Securities Limited, UBS AG, UBS Securities LLC, and UBS Bank (Canada). The Ontario Superior Court of Justice, (Court File No. CV-15-536174CP).

# **ECONIC PARTNERS**

Janet Anderson, et al, v. Purshe Kaplan Sterling Investments, Inc. v. TD Ameritrade.

MF Global Assigned Assets LLC, v. Certain Underwriters at Lloyd's, London; Aspen Insurance UK Limited, Federal Insurance Company, U.S. Specialty Insurance Company, Westchester Fire Insurance Company, Everest Reinsurance Company, Continental Insurance Company, and Great American Insurance Company. American Arbitration Association, (AAA Case No. 02-22-0000-3413).